



# JAWA CAPITAL SERVICES PRIVATE LIMITED

(SEBI Regd. Category-1 Merchant Banker, CIN: U74140DL2005PTC137680)

Regd. Office: Plot No. 93, F/F, Pocket-2, Near DAV School, Jasola, New Delhi-110025

Web: www.jawacapital.in, E-mail: info@jawacapital.in; Tel.: 91- 11-47366600

## INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ POD-1/P/ CIR/ 2023/ 157 DATED SEPTEMBER 26, 2023

### 1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/ SME

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during Particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of Annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.



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## 2. Right Issue:

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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### 3. Qualified Institutional Placement (QIPs)

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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## 4. Preferential Issue

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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## 5. Buyback of Securities

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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## 6. Delisting of Securities

Data for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.



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## 7. Substantial Acquisition of Shares & Takeovers Data

for month ending March 2026 is as follows:

Sr. No.	Received from	Pending as at the end Of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1.	November 2025	Nil	Nil	Nil	Nil
2.	December 2025	Nil	Nil	Nil	Nil
3.	January 2026	Nil	Nil	Nil	Nil
4.	February 2026	Nil	Nil	Nil	Nil
5.	March 2026	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2022	Nil	Nil	Nil	Nil
2.	2023	Nil	Nil	Nil	Nil
3.	2024	Nil	01	01	Nil
4.	2025	Nil	01	01	Nil
5.	2026	Nil	0+	0+	0+
	<b>Grand total</b>	<b>Nil</b>	<b>2+</b>	<b>2+</b>	<b>0+</b>

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> the relevant period has not been completed.